



**2021**

**CALENDAR OF FILING DEADLINES AND SEC HOLIDAYS**

INFORMATION IN THIS CALENDAR HAS BEEN OBTAINED BY SOURCES BELIEVED TO BE RELIABLE, BUT CANNOT BE GUARANTEED FOR ACCURACY.  
PLEASE CONSULT WITH PROFESSIONAL COUNSEL BEFORE ACTING OR RELYING ON THE INFORMATION PROVIDED.

# FILING DEADLINES

FORM TYPE	
10-Q	45 Days After Fiscal Quarter End.*
10-K	90 Days After Fiscal Year End.*
SECTION 16	
Form 3	No Later than Effective Date of Registration Statement if Issuer Registering Securities for the First Time or 10 Days After Individual Becomes a Reporting Person if Issuer Already Registered.
Form 4	2nd Business Day After Registered Securities are Traded.
Form 5	45th Day After End of Issuer's Fiscal Year (If Required).
OTHER DEADLINES	
8-K	4th Business Day After Event.
11-K	180 Days After End of Retirement Plan Year.
N-Q	60 Days After 1st and 3rd Fiscal Quarter End.
N-PX	August 31, 2021
N-SAR (Annual)	60 Days After Fiscal Year End.
N-SAR (Semiannual)	60 Days After End of 2nd Fiscal Quarter.
N-CSR/N-30D	Due to Be Printed and Mailed 60 Days Following End of Each Half Year by Investment Companies. EDGAR Filing Due 10 Days After Mailing Date.
13D	10 Days After Acquisition of More than 5% Beneficial Ownership.
13F	45 Days Following End of Each Fiscal Quarter from Institutional Investment Managers.
13G	45 Days Following End of Calendar Year
24F-2	90 Days Following End of Fiscal Year by Investment Companies.
D	15 Calendar Days After the "Date of First Sale" of Securities in the Offering.
FOREIGN PRIVATE ISSUERS	
6-K	Due Promptly After Material Contained in Report is Made Public.
20-F	Due Within Four Months After Fiscal Year End.
40-F	Due Same Day Information Included Therein is Due to be Filed With Any Securities Commission or Equivalent Regulatory Authority in Canada.

\* Non-accelerated filers.

## GUIDE FOR 10-Q DEADLINES

LARGE ACCELERATED FILERS	ACCELERATED FILERS	NON-ACCELERATED FILERS
40 Days from Quarter End	40 Days from Quarter End	45 Days from Quarter End
5 Day Extension with filing of NT 10-Q	5 Day Extension with filing of NT 10-Q	5 Day Extension with filing of NT 10-Q

## GUIDE FOR 10-K DEADLINES

LARGE ACCELERATED FILERS	ACCELERATED FILERS	NON-ACCELERATED FILERS
60 Days from Quarter End	75 Days from Quarter End	90 Days from Quarter End
15 Day Extension with filing of NT 10-K	15 Day Extension with filing of NT 10-K	15 Day Extension with filing of NT 10-K

## SEC HOLIDAYS

Friday, January 1, 2021	New Year's Day
Monday, January 18, 2021	Martin Luther King, Jr. Day
Monday, February 15, 2021	Washington's Birthday
Monday, May 31, 2021	Memorial Day
Monday, July 5, 2021	Independence Day (observed)
Monday, September 6, 2021	Labor Day
Monday, October 11, 2021	Columbus Day
Thursday, November 11, 2021	Veterans Day
Thursday, November 25, 2021	Thanksgiving Day
Friday, December 24, 2021	Christmas Day (observed)
Friday, December 31, 2021	New Year's Day (observed)

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
					1  New Year's Day (SEC Closed)	2
3	4	5	6	7	8	9
10	11	12	13  9/30/20 10-K (After 15 Day Ext)	14  DEADLINE 11/30/20 10-Q 8/31/20 20-F (After 15 Day Ext)	15	16
17	18  MLK, Jr. Day (SEC Closed)	19  DEADLINE 11/30/20 10-Q (After 5 Day Ext)	20	21	22	23
24	25	26	27	28	29  DEADLINE 10/31/20 10-K DEADLINE 9/30/20 20-F	30
31						

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

## DECEMBER

		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

## FEBRUARY

	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28						

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15 Washington's Birthday (SEC Closed)	DEADLINE 12/31/20 10-Q 10/31/20 10-K (After 15 Day Ext) 9/30/20 20-F (After 15 Day Ext) 16	17	18	19	20
21	22 DEADLINE 12/31/20 10-Q (After 5 Day Ext)	23	24	25	26	27
28						

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

JANUARY

					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

MARCH

	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	1 DEADLINE 11/30/20 10-K	2 DEADLINE 10/31/20 20-F	3	4	5	6
7	8	9	10	11	12	13
14	15	16 DEADLINE 11/30/20 10-K (After 15 Day Ext)	17 DEADLINE 1/31/21 10-Q 10/31/20 20-F (After 15 Day Ext)	18	19	20
21	22 DEADLINE 1/31/21 10-Q (After 5 Day Ext)	23	24	25	26	27
28	29 DEADLINE 11/30/20 20-F	30	31 DEADLINE 12/31/20 10-K			

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

## FEBRUARY

	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28						

## APRIL

				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
				1	2	3
4	5	6	7	8	9	10
11	12	13 DEADLINE 11/30/20 20-F (After 15 Day Ext)	14 DEADLINE 2/28/21 10-Q	15 DEADLINE 12/31/20 10-K (After 15 Day Ext)	16	17
18	19 DEADLINE 2/28/21 10-Q (After 5 Day Ext)	20	21	22	23	24
25	26	27	28	29	30 DEADLINE 12/31/20 20-F	

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

MARCH						MAY							
	1	2	3	4	5	6						1	
7	8	9	10	11	12	13	2	3	4	5	6	7	8
14	15	16	17	18	19	20	9	10	11	12	13	14	15
21	22	23	24	25	26	27	16	17	18	19	20	21	22
28	29	30	31				23	24	25	26	27	28	29
							30	31					

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
						1
2	3 DEADLINE 1/31/21 10-K	4	5	6	7	8
9	10	11	12	13	14	15
16	17 DEADLINE 3/31/21 10-Q 12/31/20 20-F (After 15 Day Ext)	18 DEADLINE 1/31/21 10-K (After 15 Day Ext)	19	20	21	22
23	24 DEADLINE 3/31/21 10-Q (After 5 Day Ext)	25	26	27	28	29
30	31 Memorial Day (SEC Closed)					

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

APRIL

				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

JUNE

		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			



SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
		1 DEADLINE 2/28/21 10-K 1/31/21 20-F	2	3	4	5
6	7	8	9	10	11	12
13	14 DEADLINE 4/30/21 10-Q	15	16 DEADLINE 2/28/21 10-K (After 15 Day Ext) 1/31/21 20-F (After 15 Day Ext)	17	18	19
20	21 DEADLINE 4/30/21 10-Q (After 5 Day Ext)	22	23	24	25	26
27	28 DEADLINE 2/28/21 20-F	29 DEADLINE 3/31/21 10-K	30			

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

MAY							JULY						
						1					1	2	3
2	3	4	5	6	7	8	4	5	6	7	8	9	10
9	10	11	12	13	14	15	11	12	13	14	15	16	17
16	17	18	19	20	21	22	18	19	20	21	22	23	24
23	24	25	26	27	28	29	25	26	27	28	29	30	31
30	31												

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
				1	2	3
4 Independence Day	5 Independence Day (observed) (SEC CLOSED)	6	7	8	9	10
11	12	13 DEADLINE 2/28/21 20-F (After 15 Day Ext)	14 DEADLINE 3/31/21 10-K (After 15 Day Ext)	15 DEADLINE 5/31/21 10-Q	16	17
18	19	20 DEADLINE 5/31/21 10-Q (After 5 Day Ext)	21	22	23	24
25	26	27	28	29 DEADLINE 4/30/21 10-K	30 DEADLINE 3/31/21 20-F	31

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

JUNE							AUGUST						
		1	2	3	4	5	1	2	3	4	5	6	7
6	7	8	9	10	11	12	8	9	10	11	12	13	14
13	14	15	16	17	18	19	15	16	17	18	19	20	21
20	21	22	23	24	25	26	22	23	24	25	26	27	28
27	28	29	30				29	30	31				

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
1	2	3	4	5	6	7
8	9	10	11	12	13 DEADLINE 4/30/21 10-K (After 15 Day Ext)	14
15	16 DEADLINE 6/30/21 10-Q 3/31/21 20-F (After 15 Day Ext)	17	18	19	20	21
22	23 DEADLINE 6/30/21 10-Q (After 5 Day Ext)	24	25	26	27	28
29	30 DEADLINE 5/31/21 10-K 4/30/21 20-F	31				

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

JULY							SEPTEMBER						
				1	2	3				1	2	3	4
4	5	6	7	8	9	10	5	6	7	8	9	10	11
11	12	13	14	15	16	17	12	13	14	15	16	17	18
18	19	20	21	22	23	24	19	20	21	22	23	24	25
25	26	27	28	29	30	31	26	27	28	29	30		

# SEPTEMBER 2021

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
			1	2	3	4
5	6 Labor Day (SEC Closed)	7	8	9	10	11
12	13	14 DEADLINE 7/31/21 10-Q 5/31/21 10-K (After 15 Day Ext) 4/30/21 20-F (After 15 Day Ext)	15	16	17	18
19	20 DEADLINE 7/31/21 10-Q (After 5 Day Ext)	21	22	23	24	25
26	27	28 DEADLINE 6/30/21 10-K	29	30 DEADLINE 5/31/21 20-F		

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

## AUGUST

1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

## OCTOBER

					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
					1	2
3	4	5	6	7	8	9
10	11 Columbus Day (SEC Closed)	12	13 DEADLINE 6/30/21 10-K (After 15 Day Ext)	14	15 DEADLINE 8/31/21 10-Q 5/31/21 20-F (After 15 Day Ext)	16
17	18	19	20 DEADLINE 8/31/21 10-Q (After 5 Day Ext)	21	22	23
24	25	26	27	28	29 DEADLINE 7/31/21 10-K 6/30/21 20-F	30
31						

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

## SEPTEMBER

			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

## NOVEMBER

	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	1	2	3	4	5	6
7	8	9	10	11 Veterans Day (SEC Closed)	12	13
14	15 DEADLINE 9/30/21 10-Q 6/30/21 20-F (After 15 Day Ext)	16	17	18	19	20
21	22 DEADLINE 9/30/21 10-Q (After 5 Day Ext)	23	24	25 Thanksgiving Day (SEC Closed)	26	27
28	29 DEADLINE 8/31/21 10-K	30 DEADLINE 7/31/21 20-F				

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

## OCTOBER

					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

## DECEMBER

			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15 DEADLINE 10/31/21 10-Q 7/31/21 20-F (After 15 Day Ext)	16	17	18
19	20 DEADLINE 10/31/21 10-Q (After 5 Day Ext)	21	22	23	24 Christmas Day (observed) (SEC Closed)	25 Christmas Day
26	27	28	29 DEADLINE 9/30/21 10-K	30	31 New Year's Day (observed) (SEC Closed)	

(10-Q AND 10-K DEADLINES ARE FOR NON-ACCELERATED FILERS)

## NOVEMBER

	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

## JANUARY

						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					